



10301 Stella Link Houston, TX 77025 (713)578-4182 FAX (713) 578-5182

FAX TRANSMISSION COVER SHEET

Date:

August 27, 2004

To:

USPTO

Company:

US Patent & Trademark Office

Technology Center 1600

Fax:

(703) 872-9306

Sender:

Cheryl A. Liljestrand

RE: U.S. Application No. 10/005,438

Attorney Docket No.: TNX95-02ABB

You should receive 33 page(s), including this cover. If you do not receive all pages, please call (713) 578-4182.

Certificate of Transmission

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office, Fax No. (703) 872-9306, on August 27, 2004. (Date)

Typed or printed name of person signing this certificate:

Cheryl A. Liljestrand

Signature:

CONFIDENTIALITY NOTICE: The information contained in this facsimile is confidential and belongs to the sender. The information is intended for the use of the individual(s) or entity named above. Any disclosure, dissemination, copying, distribution, or unauthorized action in reliance upon this facsimile transmission is strictly prohibited. If you have received this communication in error, please be aware that these same obligations of confidentiality apply to you and immediately notify us by telephone. We will instruct you to return the original transmission documents to us via the U.S. Postal Service and we will relimburse you for the postage. Thank you.

Ĺ

Signature

्र ्रेड्				PER 197 (44 440 40)		
			Approved for use through 04/30/	PTO/SB/21 (03-03) 2003. OMB 0851-0031		
Under the Panarwork Rec	luction Act of 1995, no person	U.S. Paten a are required to respond to a collection	l and Trademark Office; U.S. DEPARTM n of Information unless it displays a valid	OMB control number		
TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	10/005,438			
		Filing Date	03 December 2001 Liming YU			
		First Named Inventor				
		Art Unit	1642			
		Examiner Name	Susan Ungar			
Total Number of Pages in This Submission 32		Attorney Docket Number	TNX95-02ABB			
	ENC	LOSURES (Check all tha	t apply)			
Extension of Time Express Abandonn Information Disclos Certified Copy of P Document(s) Response to Missi Incomplete Applica	eclaration(s) Request nent Request ture Statement triority Ing Parts/ Ition to Missing Parts FR 1.52 or 1.53		Other Enclosure(s) Identify below):	enter (TC) ation to Board arferences ation to TC f, Reply Brief)		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
or Individual /3. Signature	LUljestrand LUCY A () Just 2904	Gestand	7			
		ICATE OF TRANSMISSIO				
I hereby certify that this corres first class mail in an envelope	spondence is being facsimile to addressed to: Commissioner l	ransmitted to the USPTO or deposited for Patents, Washington, DC 20231 on	with the United States Postal Service will this date: 27 August 2004	h sufficient postage as		
Typed or printed	Angela K. Haffelder					
Signature Date 27 August 20						

This collection of Information is required by 37 CFR 1.5. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the Individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office. U.S. Department of Commence, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

RECEIVED
CENTRAL FAX CENTER

AUG 2 7 2004

Group Art Unit: 1642

Examiner: S. Ungar

PATENT

ATTORNEY DOCKET NO.: 95-02ABB

Customer No.: 26839

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Tse Wen Chang

Serial No.: 10/005,438

Filed: December 3, 2001

For: HYBRID WITH INTERFERON-a AND AN IMMUNOGLOBULIN FC FOR TREATMENT OF TUMORS

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

RESUBMISSION OF PRELIMINARY AMENDMENT AND RESPONSE TO RESTRICTION REQUIREMENT

In response to the Restriction Requirement mailed on July 28, 2004, request that the Restriction be withdrawn in light of Applicants resubmission of the Preliminary Amendment filed on March 20, 2002, including the autoreply Facsimile Transmission sheet as proof of filing. This Preliminary Amendment canceled claims 1-13 and added new claims 14-22, along with amendments to the specification and an IDS. It is clear from the Restriction Requirement that this Preliminary Amendment was not entered. Therefore, Applicants request that the Restriction Requirement be withdrawn and the Preliminary amendment entered.

Respectfully Submitted

Dated: August 27, 2004.

03/20/02 10:44:11

7135785002 FightFAX

Page 001

TO:Auto-reply fax to 7135785002 COMPANY:

Auto-Reply Facsimile Transmission



TO:

Fax Sender at 7135785002

Fax Information Date Received:

Total Pages:

3/20/02 6;31:51 PM [Eastern Standard Time]

35 (including cover page)

ADVISORY: This is an automatically generated return receipt confirmation of the facsimile transmission received by the Office. Please check to make sure that the number of pages listed as received in Total Pages above matches what was intended to be sent. Applicants are advised to retain this receipt in the unlikely event that proof of this facsimile transmission is necessary. Applicants are also advised to use the certificate of facsimile transmission procedures set forth in 37 CFR 1.8(a) and (b), 37 CFR 1.6(f). Trademark Applicants, also see the Trademark Manual of Examining Procedure (TMEP) section 702.04 et seq.

Received Cover Page =====>

M3/20/01 WED 17:55 FAS 7108788003 Tanox, Inc. 488B Loop Central Dr. Suita 820 Houston, TX 77081 (713) 878-4000 Fax: (713) 578-6002 FAX TRANSMISSION COVER SHEET March 20, 2002 To: . Exeminer Susan Ungel US Pistent & Trademark Office Technology Center 1500 (703) 872-9306 Chand A. Ulisetrand RE: U.S. Application No. 10/006.438 You should roceive 35 page(s), including this cover. If you do not receive all pages, please call (713) 576-4182. Certificate of Transmission I haraby carily that this correspondence is being tecsimite transmitted to the United States Pagent and Yasdemark Office, Fax No. (703) 172-9305. Received from < 717137 ESDE2 > at 101002 6:211:31 PM (Eastern Standard Time)

03/20/02 WED 17:42 FAX 7135785002

Tanox, Inc.

TRANSMISSION OK

TX/RX NO

1069

CONNECTION TEL

917038729306pp15

SUBADDRESS

CONNECTION ID

03/20 17:33

ST. TIME

08'54

PGS.

35

RESULT

OK

Tanox, Inc.



4888 Loop Central Dr. Suite 820 Houston, TX 77081 (713) 578-4000 Fax: (713) 578-5002

FAX TRANSMISSION COVER SHEET

Date:

March 20, 2002

To:

Examiner Susan Ungar

Company:

US Patent & Trademark Office

Technology Center 1600

Fax:

(703) 872-9306

Sender:

Cheryl A. Liljestrand

RE: U.S. Application No. 10/005,438

Attorney Docket No.: TNX95-02ABB

You should receive 35 page(s), including this cover. If you do not receive all pages, please call (713) 578-4182.

Certificate of Transmission

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office, Fax No. (703) 872-9306. on March 20, 2002. (Date)

Typed or printed name of person signing this certificate:

Chervi A. Liljestrand

PAGE 5/33 * RCVD AT 8/27/2004 2:31:18 PM [Eastern Daylight Time] * SVR:USPTO-EFXRF-1/2 * DNIS:8729306 * CSID:7135785005 * DURATION (mm-ss):09-16

Tanox, Inc.



4888 Loop Central Dr. Suite 820 Houston, TX 77081 (713) 578-4000 Fax: (713) 578-5002

FAX TRANSMISSION COVER SHEET

Date:

March 20, 2002

To:

Examiner Susan Ungar

Company:

US Patent & Trademark Office

Technology Center 1600

Fax:

(703) 872-9306

Sender:

Cheryl A. Liljestrand

RE: U.S. Application No. 10/005,438

Attorney Docket No.: TNX95-02ABB

You should receive 35 page(s), including this cover. If you do not receive all pages, please call (713) 578-4182.

Certificate of Transmission

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office, Fax No. (703) 872-9306. on March 20, 2002. (Date)

Typed or printed name of person signing this certificate:

Cheryl A. Liljestrand

Signature

CONFIDENTIALITY NOTICE: The information contained in this facsimile is confidential and belongs to the sender. The information is intended for the use of the individual(s) or entity named above. Any disclosure, dissemination, copying, distribution, or unauthorized action in reliance upon this facsimile transmission is strictly prohibited. If you have received this communication in error, please be aware that these same obligations of confidentiality apply to you and immediately notify us by telephone. We will instruct you to return the original transmission documents to us via the U.S. Postal Service and we will reimburse you for the postage. Thank you.

Please type a plus sign (+) inside this box ->	+	Approv	PTC/SB/21 (08-00) red for use through 10/31/2002, OMB 0651-0031 ark Office: U.S. DEPARTMENT OF COMMERCE			
Under the Paperwork Reduction Act of 1995, no persons are	n al beniuper	U.S. Patent and Tradem append to a collection of Informati	ark Office: U.S. DEPARTMENT OF COMMERCE on unless it displays a valid OMB control number.			
Under the Paperwork Resident Act of 1888, the percentage		Application Number	10/005,438			
TRANSMITTA	\L	Filing Date	Dec. 3, 2001			
FORM		First Named Inventor	Tse Wen CHANG			
(to be used for all correspondence after in	itial filing)	Group Art Unit	1642			
		Examiner Name	5. UNGAR			
Total Number of Pages in This Submission	on 34	Attorney Docket Number	TNX95-02ABB			
ENCLOSURES (check all that apply)						
Fee Transmittal Form Fee Attached Drawin Amendment / Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) (for an (fo		ng-related Papers Appeal Communication to Group (Appeal Notice, Brief, Rapity Brief) Proprietary Information onal Application of Attorney, Revocation e of Correspondence Other Enclosure(s) (please				
PICMATUR	E OE ADDI	ICANT ATTORNEY OR	AGENT			
Firm or Individual name Chery A. Liliestrand Signature Chery A. Alyestrand						
Date March 20, 2002						
CERTIFICATE OF MAILING						
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:						
Typed or printed name						
Signature		Da				
Burden Hour Statement: This form to estimated to take 0.2 h on the amount of time you are required to complete this for DC 20231. DO NOT SEND FEES OR COMPLETED FORMS	ours to comple n should be se TO THIS ADD	ele. Time will vary depending up- ant to the Chief information Offic DRESS. SEND TO: Assistant Cor	on the needs of the individual case. Any comments er, U.S. Patent and Trademark Office, Washington, nmissioner for Patents, Washington, DC 20231.			

PATENT

ATTORNEY DOCKET NO.: 95-02ABB

Group Art Unit: 1642

Examiner: S. Ungar

Customer No.: 26839

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Tse Wen Chang

Serial No.: 10/005,438

Filed: December 3, 2001

For: HYBRID WITH INTERFERON-a AND AN

IMMUNOGLOBULIN Fc FOR TREATMENT OF TUMORS

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

PRELIMINARY AMENDMENT

Prior to examination on the merits, Applicant requests entry of the following amendments.

Please amend the application as follows:

IN THE SPECIFICATION:

Please substitute the following sentence for the first sentence of page one:

"This application is a division of co-pending U.S. Application No. 09/268,787, filed 3/16/1999, which is a continuation-in-part of U.S. Application No. 08/994,719, filed 12/19/1997 (now U.S. Patent No. 5,908,626), which is a continuation-in-part of U.S. Application No. 08/719,331, filed 9/25/1996 (now U.S. Patent No. 5,723,125) which is a continuation-in-part of U.S. Application No. 08/579,211, filed 12/28/1995 (now abandoned), and to which priority is claimed under 35 U.S.C. §120."